

2811

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: **HORIGUCHI, Naoto et al.**

Serial No.: **09/726,386**

Filed: **December 1, 2000**

FOR: **SEMICONDUCTOR MEMORY WITH FLOATING GATE TYPE FET**



Group Art Unit: **2811**

Examiner: **Tran, Thien F**

9/a  
FJONES  
10-30-02

**AMENDMENT UNDER 37 CFR §1.111**

Commissioner for Patents  
Washington, D.C. 20231

July 10, 2002

Sir:

In response to the Office Action dated **April 10, 2002**, please amend the above-identified application as follows:

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**IN THE CLAIMS:**

10/30/2002 SOURCES 00000008 012340 09726386

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Please amend claim 1 to read as follows:

1. (Amended) A semiconductor memory comprising:
- a semiconductor substrate;
  - a tunneling insulating film formed on a partial surface area of said semiconductor substrate, said tunneling insulating film having a thinness enough to transmit carriers therethrough by a direct tunneling phenomenon;
  - a floating gate electrode formed on said tunneling insulating film;
  - a gate insulating film covering a side wall of said floating gate electrode and a partial surface area of said semiconductor substrate on both sides of said floating gate electrode, said gate insulating film having a thickness not allowing carriers to transmit therethrough by the

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